National Licenses
Backfiles and Archives for Switzerland

Pascalia Boutsouci & Sabine Friedlein – Consortium of Swiss Academic Libraries
Historical context and SUK-program
1999

**Prestudy**
by Conference of Swiss University Libraries (KUB/CBU)

**2000-2005**

**national project**
Start-up financing of Swiss Confederation
Promotion of product acquisition for current content by SUK/CUS with 14 mio. CHF
50% share of member libraries

**2006-2016**

**continuing activities in licensing electronic ressources**
100% financed by members
- Central office
- Acquisition of licenses
  2012 about 22.3 mio. CHF spent for electronic ressources
MEMBERS OF THE CONSORTIUM

Addresses of all primary consortium partner libraries, with contact details.

Addresses of all secondary consortium partners, with contact details.

2013: 60 libraries
FRAMEWORK: SUK PROGRAMS 2013-2016

10 SUK programs 2013 - 2016

SUK P-2 program «Scientific information: access, processing and preservation»

goal: establish a Swiss-wide strategy for the program

definition of six domains (fields of action) + national organization

• e-publishing
• data management
• identity management
• cloud computing
• e-learning
• working environment

e-publishing action item «National licences for Switzerland»
DEFINITION OF THE TERM NATIONAL LICENSE

Access for all Swiss Universities:
Cantonal Universities, Domain of the Federal Institutes of Technology (ETHZ, EPFL, Lib4RI), National Library, Universities of Applied Sciences, Universities of Teacher Education

Access for all CSAL members

Nationwide access for all residents in CH
IBM: Foundation of an overall strategy for the program

Strategy groups working out action items/strategy for each domain

Consolidation of sub-strategies into an overall strategy

Project implementation 2014-2016
TIME SCHEDULE SUK PROGRAM

Spring to summer 2013:
IBM foundation of an overall strategy

Summer 2013 strategy groups
Working out strategy for each field of action

October 11, 2013
Submission deadline for strategies for each field of action

End of 2013
Strategy adopted by CRUS

November 7 to December 14, 2013
Call for proposals for projects

January 27, 2014
CRUS: Approving projects
Grant access to scholarly information
Universities in Switzerland / CSAL members
(national licences)

- Negotiations
- International networking
- Cooperation
- Exchange of information

Taking part in the innovation process

Observing the international development of access to scholarly information

Service for all Swiss Universities

MISSION OF CSAL 2013-2016
The survey of the consortium
SURVEY WORKFLOW

1. Offer Prerequisites
2. Offers & Survey
3. Survey Evaluation
1. Offer Prerequisites
Licensing model
- One-off expenditure
- No follow-up costs (maintenance fee)
- Free access to publisher’s server
- Delivery of free data and metadata

Discount and Credit
- Discount?
- Will consortium members receive a credit for already purchased contents?

Licensing options
- Option 1: Nation-wide access (inhabitants of Switzerland including private users)
- Option 2: Access for all CSAL members
**Content**
- Concluded collections
- Journal Archives
- Full-text Database
- eBooks Archives

**Coverage**
- Complete collections
- Comprehensive subject areas
- Extensive number of years
- Extensive number of articles

**User Groups**
- Option 1: Nation-wide access
- Option 2: CSAL members
### Access to Data
- Implementation in library catalogue
- IP-based, Shibboleth, remote access, password-driven
- No. of simultaneous users or unlimited

### Access to Metadata
- Implementation in library catalogue
- Formats
- References for quality check
- Processing

### IT
- Necessary processes?
- Technical requirements?
Long term availability

- How can long term availability be guaranteed?

Post cancellation access

- Do publishers cooperate with Portico or LOCKSS?

Storage

- Can files be stored or downloaded locally?
| Open Access rights | Green Road  
|                   | Golden Road  
|                   | Hybrid etc.  |
| Usage rights      | Interlibrary Loan (ILL)  
|                   | DRM-free content  
|                   | Indexing rights  |
| Archive rights    | Data delivery  
|                   | Formats  
<p>|                   | Same format and usability as the online format? |</p>
<table>
<thead>
<tr>
<th>Rights</th>
<th>Archive rights</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>• Data delivery?</td>
</tr>
<tr>
<td></td>
<td>• Formats?</td>
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<td></td>
<td>• Same format and usability as the online format?</td>
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</table>

<table>
<thead>
<tr>
<th>Access rights</th>
</tr>
</thead>
<tbody>
<tr>
<td>• <strong>Option 1</strong> – national level, all inhabitants of Switzerland</td>
</tr>
<tr>
<td>• <strong>Option 2</strong> – all consortia members</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Access</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Via IP or Shibboleth</td>
</tr>
<tr>
<td>• Remote access</td>
</tr>
<tr>
<td>• Access via username and password</td>
</tr>
<tr>
<td>• Platform</td>
</tr>
<tr>
<td>• No. of simultaneous users</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Usage rights</th>
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<table>
<thead>
<tr>
<th>ILL</th>
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</table>

<table>
<thead>
<tr>
<th>Price includes</th>
</tr>
</thead>
<tbody>
<tr>
<td>• <strong>Free access to publisher's server</strong></td>
</tr>
<tr>
<td>• <strong>Delivery of free metadata</strong></td>
</tr>
<tr>
<td>• Free data delivery</td>
</tr>
</tbody>
</table>
Publishers need to be experienced CSAL partners

- Long-term availability of content
- Reliability of publisher
- Professional support
- Relevance of content
2. Offers & Survey
NUMBER OF OFFERED PRODUCTS

Number of publishers

- 45 out of 60 publishers and agencies participated in the National License survey

Number of products

- 96 different products were offered
OFFERS: PERCENTAGE OF PRODUCT TYPES

National Licenses survey 2013

- eBooks: 20%
- Database: 34%
- eJournals: 46%

CSAL expenditures 2012

- eJournals: 70%
- Databases: 28%
- eBooks: 2%
<table>
<thead>
<tr>
<th>Nr.</th>
<th>Product (limited to the related e-books)</th>
<th>Publisher/Provider</th>
<th>Content</th>
<th>Years Included</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>JGDS E-Books</td>
<td>JGDS</td>
<td>58,328 articles (35,577 E-Journals, 22,751 E-Books)</td>
<td>2010-2016</td>
</tr>
<tr>
<td>18</td>
<td>Congressional Record : Digital Collection A</td>
<td>Congressional Record : Digital Collection</td>
<td>7,267 articles</td>
<td>2000-2010; Congressional Record : Digital Collection A E-Collection 2011-2016; Congressional Record : Digital Collection A E-Books (2012)</td>
</tr>
<tr>
<td>19</td>
<td>Congressional Record : Digital Collection B</td>
<td>Congressional Record : Digital Collection</td>
<td>7,267 articles</td>
<td>2000-2010; Congressional Record : Digital Collection B E-Collection 2011-2016; Congressional Record : Digital Collection B E-Books (2012)</td>
</tr>
</tbody>
</table>
Primary consortium members

- Participation: 88%
- No Participation: 12%
Secondary consortium members

- Participation: 20%
- No Participation: 80%
PRIORITIZATION THROUGH VOTING

Equal votes
- Primary consortium members
- Secondary consortium members

Number of votes
- 9 votes per institution
- 3 x 3 different grades = priorities

Priorities
- 3 x priority 1 (highest)
- 3 x priority 2
- 3 x priority 3 (lowest)
3. Evaluation
No. of votes per product

- 1 vote: 21%
- 2 votes: 19%
- 3 and more votes: 20%
- No vote: 40%
## 3 AND MORE VOTES

<table>
<thead>
<tr>
<th>Rank</th>
<th>3 and more votes</th>
<th>No. of votes</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>JSTOR Archive Collections</td>
<td>12</td>
</tr>
<tr>
<td>2</td>
<td>Springer Journal Archive (SJA)</td>
<td>11</td>
</tr>
<tr>
<td>3</td>
<td>Wiley-Blackwell Journals Archive</td>
<td>10</td>
</tr>
<tr>
<td>4</td>
<td>Elsevier ScienceDirect Backfiles</td>
<td>7</td>
</tr>
<tr>
<td>4</td>
<td>Taylor and Francis online archive collection</td>
<td>7</td>
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<tr>
<td>4</td>
<td>Books at JSTOR</td>
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<tr>
<td>5</td>
<td>Springer E-Books Archive (SBA)</td>
<td>6</td>
</tr>
<tr>
<td>6</td>
<td>Wiley-Blackwell Cochrane Library</td>
<td>5</td>
</tr>
<tr>
<td>7</td>
<td>OECD iLibrary Books, Papers and Statistics</td>
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<tr>
<td>7</td>
<td>De Gruyter Complete Journal Archive 1826-2012</td>
<td>4</td>
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<tr>
<td>7</td>
<td>Thieme E-Journal Backfiles</td>
<td>4</td>
</tr>
<tr>
<td>7</td>
<td>Oxford Journals Complete Archive</td>
<td>4</td>
</tr>
<tr>
<td>7</td>
<td>Historical Newspapers New York Times</td>
<td>4</td>
</tr>
<tr>
<td>8</td>
<td>Proquest Art &amp; Architecture Archive</td>
<td>3</td>
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<tr>
<td>8</td>
<td>Project MUSE eBooks</td>
<td>3</td>
</tr>
<tr>
<td>8</td>
<td>Nineteenth Century Collections Online (NCCO) – 8 modules</td>
<td>3</td>
</tr>
<tr>
<td>8</td>
<td>Nomos eLibrary</td>
<td>3</td>
</tr>
<tr>
<td>8</td>
<td>The Merck Index Online</td>
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</tr>
</tbody>
</table>
Priority 1 • 26 products were voted priority 1

Priority 2 • 29 products were voted priority 2

Priority 3 • 39 products were voted priority 3
<table>
<thead>
<tr>
<th>Rank</th>
<th>Product</th>
<th>Weight of priority 1</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>JSTOR Archive Collections</td>
<td>27</td>
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<tr>
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<td>Springer Journal Archive (SJA)</td>
<td>18</td>
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<tr>
<td>3</td>
<td>Elsevier ScienceDirect Backfiles</td>
<td>15</td>
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<tr>
<td>4</td>
<td>Wiley-Blackwell Journals Archive</td>
<td>9</td>
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<tr>
<td>4</td>
<td>Taylor and Francis online archive collection</td>
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<td>Wiley-Blackwell Cochrane Library</td>
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<td>4</td>
<td>Proquest Art &amp; Architecture Archive</td>
<td>9</td>
</tr>
<tr>
<td>5</td>
<td>OECD iLibrary Books, Papers and Statistics</td>
<td>6</td>
</tr>
<tr>
<td>5</td>
<td>E-Book collections: Beltz, Juventa, Lambertus, Kohlhammer, Linde, Campus, Ulmer</td>
<td>6</td>
</tr>
<tr>
<td>5</td>
<td>Wilson Index Retrospective Collection</td>
<td>6</td>
</tr>
<tr>
<td>6</td>
<td>Books at JSTOR</td>
<td>3</td>
</tr>
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<td>6</td>
<td>BROCKHAUS Wissensservice</td>
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<tr>
<td>6</td>
<td>Project MUSE eBooks</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>Palgrave Macmillan Ebooks: Palgrave Connect</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>WISO: Wirtschafts- und Sozialwissenschaftliche Referenzen und Volltexte</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>Periodical Archive Online Collections 1-10</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>Nature Publishing Group - Archives</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>HeinOnline Law Journal Library</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>SAGE Deep Backfile (various subject packages) including journals from the Institute of Mechanical Engineers and Royal Society of Medicine.</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>The Economist Historical Archive 1843 – 2008 (rolling archive)</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>The Financial Times Historical Archive 1888-2009 (rolling archive)</td>
<td>3</td>
</tr>
<tr>
<td>6</td>
<td>Mary Ann Liebert Journals</td>
<td>3</td>
</tr>
</tbody>
</table>
Products with at least 1 vote

- eJournals: 49%
- Databases: 26%
- eBooks: 25%

Top 10 products

- eJournals: 70%
- Databases: 10%
- eBooks: 20%
Follow-up
<table>
<thead>
<tr>
<th>Time</th>
<th>Publisher</th>
<th>Product</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.50-11.10</td>
<td>De Gruyter</td>
<td>Journals Archive</td>
</tr>
<tr>
<td>11.10-11.30</td>
<td>Elsevier</td>
<td>Science Direct Backfiles</td>
</tr>
<tr>
<td>11.30-11.50</td>
<td>Q&amp;A on De Gruyter and Elsevier</td>
<td></td>
</tr>
<tr>
<td>11.50-13.20</td>
<td>Lunch</td>
<td></td>
</tr>
<tr>
<td>13.20-13.40</td>
<td>JSTOR</td>
<td>Archive Collection &amp; eBooks Archive</td>
</tr>
<tr>
<td>13.40-14.00</td>
<td>ProQuest</td>
<td>Art &amp; Architecture Archive</td>
</tr>
<tr>
<td>14.00-14.20</td>
<td>Q&amp;A on JSTOR and ProQuest</td>
<td></td>
</tr>
<tr>
<td>14.20-14.40</td>
<td>Springer</td>
<td>Journals Archive &amp; eBooks Archive</td>
</tr>
<tr>
<td>14.40-15.00</td>
<td>Taylor &amp; Francis</td>
<td>Archive Collection</td>
</tr>
<tr>
<td>15.00-15.20</td>
<td>Wiley</td>
<td>Journals Archive &amp; Cochrane Library</td>
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<tr>
<td>15.20-15.40</td>
<td>Q&amp;A on Springer, Taylor &amp; Francis and Wiley</td>
<td></td>
</tr>
<tr>
<td>15.40-16.30</td>
<td>Discussion</td>
<td></td>
</tr>
</tbody>
</table>
END
ROAD WORK
THANK YOU

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sabine.friedlein@library.ethz.ch
http://lib.consortium.ch/index.php