Offsetting Deals in Austria

Symposium of the Consortium of Swiss Academic Libraries (CSAL)

Academic licensing and publishing today

Challenges for libraries, consortia, researchers, and publishers

University of Bern, 7th September 2016

Snjezana Cirkovic
Agenda

- Open Access Activities in Austria
- Key Players
- Terminology
- Offsetting Deals:
  - Royal Society of Chemistry
  - Institute of Physics
  - Taylor & Francis
  - Sage
  - Springer Compact
Open Access Activities in Austria

- Institutional Repositories
- Publication Funds
- Austrian Science Fund (FWF)
- Open Access Network Austria (OANA)
- E-Infrastructures Austria
- Austrian Academic Library Consortium (KEMÖ)
Austrian Science Fund (FWF)

- Austria's central funding organization for basic research
- Open Access policy since 2004, mandate since 2008
- 2013: initiated the Open Access Network Austria (OANA), now 56 institutions
- Open Access costs spent in 2015:
  - Hybrid OA: 2.4 Million
  - Gold OA: 0.4 Million
Austrian Academic Library Consortium (KEMÖ)

- Founded in 2005
- Coordinates the acquisition, licensing and administration of databases and electronic journals and books
- 58 Members: 18 Public Universities, 8 Private Universities, 18 Universities of Applied Studies and 14 research and academic institutions
- Currently, nearly 70 products are administrated through the consortium
- Since 2014 Open Access is one of major objectives
Open Access Network Austria (OANA)

- Open Access Network Austria (OANA) established in 2013 as a joint activity under the organisational umbrella of the Austrian Science Fund (FWF) and Universities Austria (UNIKO).

- OANA develops specific recommendations for the implementation of Open Access in Austria.
Recommendations for the Transition to
Open Access in Austria

Date: 30/11/2015

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Timeline to 100% Open Access in Austria

E-Infrastructures Austria

- partner project sponsored by BMWFW (Federal Ministry of Science, Research and Economy) for three years
- initiated in January 2014
- In addition to all public universities, its partners include the Austrian Academy of Science (ÖAW), the Austrian Institute of Technology (AIT), the Institute of Technology Austria (IST), the Austrian National Library, the Chamber of Labour and the Austrian Library Network (OBVSG)
Terminology

- **Offsetting Deals** - combine subscriptions and Open Access Fees

- **Hybrid OA** - Articles are made Open Access in closed access journals by paying APCs

- **Double Dipping** - publishers receive payments twice

- **APCs** - Article Processing Charges for publishing Open Access
**Institution**

- Spending for reading
- Spending for publishing on top
- No integrated transaction

**Publisher**

- Provides reading access
- Publishes individual papers open access

Source: Schimmer, R. (2016)
Shifting costs

Source: Schimmer, R. (2016)
Institution
- Spending largely for publishing instead of subscriptions
- Shift of expenses
- New workflows with OA relevance

Offsetting Agreement

Publisher
- Revenue stream not disrupted
- Publishes all articles from Institution in OA
- Continues to provide reading access

Source: Schimmer, R. (2016)
Double Dipping

- **PARC Europe** defines double-dipping as following:

- “The term “Double Dipping” is used because publishers receive money twice: the subscriptions are still paid by the universities and authors pay for Open Access”.
"Wait a minute...did you just double-dip?"

Open Access Deals in Austria

- Royal Society of Chemistry: Gold for Gold (since 2013)
- Institute of Physics: Offsetting Deal (2014-2016)
- Taylor & Francis: Offsetting Deal (2014-2016)
- SCOAP3 (2014-2016)
- Springer Compact: Read and Publish (2016-2018)
- Sage: Reduction of APC (2016-2018)
„Gold for Gold“ Model RSC

- Start Austria: Fall 2012
- OA Vouchers for „Gold for Gold“ customers
- Value proportionale to subscription costs
- 1 voucher per GBP 1.600
- RSC Vouchers Issued in 2013 and 2014
  - Worldwide: 11.455 (1.972 used = 17,2 %)
  - Austria: 100 (48 used = 48 %)
- Complex workflow
Open Access Pilot Project IOP

- Pilot Project 2014-2016
- IOP, Austrian Science Fund (FWF) & Austrian Academic Library Consortium (KEMÖ)
- Consortium members pay subscription
- Austrian Science Fund pays APCs
- Once a year payed APCs are deducted to 100% from subscription fees of member institutions
- **Savings IOP:**
  - 6,9 % of total value (2015)
  - 20,86 % of total value (2016)
- Double dipping avoided on a large scale
OA-Agreement Taylor & Francis

- Pilot Project 2014-2016
- T&F, Austrian Science Fund & Austrian Academic Library Consortium
  - Consortium pays Subscriptions
  - Austrian Science Fund pays APCs
  - 80 % of APC offset against existing subscriptions
  - 96 % of APC offset against new orders
- Savings T&F:
  ✓ 5.1 % of total value (2015)
  ✓ 10.9 % of total value (2016)
Pilot Project Springer Compact

- Pilot Project 2016-2018
- Springer and 34 institutions in Austria
- Read and Publish
- Access to > 2,000 Springer journals
- > 3,000 Hybrid Open Access articles
- First come, first serve principle for article allocation
## Springer Compact – Report by disciplines

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<td>Political Science and International Studies</td>
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<td>Social Sciences</td>
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<td><strong>Total</strong></td>
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<td><strong>49</strong></td>
<td><strong>6</strong></td>
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Springer Compact savings:

- From January till June 2016 - 461 published articles;
- Aprox. APC for Austrian Authors in Springer Compact 2200,00 EUR; Total savings: 1.014.200,00 EUR
Figure 22: APC and subscription revenue by publisher, 2014
Elsevier generates more revenue from both subscriptions and APCs than any other publisher.

Figure 23: APC and subscription revenue by publisher, 2014
However, Elsevier makes proportionally less revenue from APCs than most of its competitors.

Source: Shamash, K. (2016)
Offsetting Deals Conclusions

- **Advantages**
  - Double Dipping avoided / mitigated
  - Transparency
  - Competition
  - Visibility
  - Pioneer effect & experience gain

- **Disadvantages**
  - Complexity
  - Sustainability
  - Complex APC administration
  - Hybrid Open Access critical
End of Transition Phase, getting into Open Access World
References:

▪ Bauer, B. … [et al] (2015): *Recommendations for the Transition to Open Access in Austria*, Expert Group "National Strategy" of the Open Access Network Austria (OANA · Universities Austria (uniko);

▪ *Kromp, B.; Rieck, K. (2016): Open Access Deals in Austria (PowerPoint slides)*, ESAC offsetting workshop, Munich;


▪ Shamash, K. (2016): *Article processing charges (APCs) and subscription: Monitoring open access costs*, retrieved from: [https://www.jisc.ac.uk/sites/default/files/apc-and-subscriptions-report.pdf](https://www.jisc.ac.uk/sites/default/files/apc-and-subscriptions-report.pdf)

▪ Stieg, K. (0215): *Offsetting-Deals in Austria* (PowerPoint slides), ICOLC, Oslo;
Useful links:

- https://www.konsortien.at/
- http://openaccess.univie.ac.at/
- FWF Open Access News
- FWF Open Access on Twitter
- www.oana.at