

# Offsetting Deals in Austria

Symposium of the Consortium of Swiss Academic Libraries (CSAL)

## Academic licensing and publishing today

Challenges for libraries, consortia, researchers, and publishers

University of Bern, 7th September 2016

**Snjezana Cirkovic**

## Agenda

- **Open Access Activities in Austria**
- **Key Players**
- **Terminology**
- **Offsetting Deals:**
  - ✓ Royal Society of Chemistry
  - ✓ Institute of Physics
  - ✓ Taylor & Francis
  - ✓ Sage
  - ✓ Springer Compact

## Open Access Activities in Austria

- Institutional Repositories
- Publication Funds
- Austrian Science Fund (FWF)
- Open Access Network Austria (OANA)
- E-Infrastructures Austria
- Austrian Academic Library Consortium (KEMÖ)



Der Wissenschaftsfonds.



## Austrian Science Fund (FWF)

- Austria's central funding organization for basic research
- Open Access policy since 2004, mandate since 2008
- 2013: initiated the Open Access Network Austria (OANA), now 56 institutions
- Open Access costs spent in 2015:
  - Hybrid OA: 2.4 Million
  - Gold OA: 0.4 Million

## Austrian Academic Library Consortium (KEMÖ)

- Founded in 2005
- Coordinates the acquisition, licensing and administration of databases and electronic journals and books
- 58 Members: 18 Public Universities, 8 Private Universities, 18 Universities of Applied Studies and 14 research and academic institutions
- Currently, nearly 70 products are administrated through the consortium
- Since 2014 **Open Access** is one of major objectives

## Open Access Network Austria (OANA)

- Open Access Network Austria (OANA) established in 2013 as a joint activity under the organisational umbrella of the Austrian Science Fund ([FWF](#)) and Universities Austria ([UNIKO](#)).
- **OANA** develops specific recommendations for the implementation of Open Access in Austria.



## Recommendations for the Transition to Open Access in Austria

Date: 30/11/2015

Working Group "[National Strategy](#)" of the Open Access Network Austria (OANA) <sup>1</sup>

[Bruno Bauer](#)

Head of the University Library at the Medical University of Vienna

[Guido Blechl](#)

Head of the Open Access Office at the University of Vienna

[Christoph Bock](#)

Principal Investigator at the Research Center for Molecular Medicine (CeMM) of the Austrian Academy of Sciences (ÖAW)

[Patrick Danowski](#)

Manager of the library at the Institute of Science and Technology Austria (IST Austria)

[Andreas Ferus](#)

Deputy Head of library at the Academy of Fine Arts Vienna

[Anton Graschopf](#)

Science Advisor at the Austrian Council for Research and Technology Development (RFTE)

[Thomas König](#)

Strategic Advisor at the Institute for Advanced Studies Vienna (IHS)

[Katja Mayer](#)

Lecturer at the Department of Science and Technology Studies and Sociology at the University of Vienna

[Michael Nentwich](#)

Director of the Institute of Technology Assessments (ITA) of the Austrian Academy of Sciences (ÖAW)

[Falk Reckling](#)

Head of the Department of Strategy Analyses of the Austrian Science Fund (FWF) and coordinator of the Open Access Network Austria (OANA)

[Katharina Rieck](#)

Co-worker at the Department of Strategy Analyses of the Austrian Science Fund (FWF)

[Peter Seitz](#)

Head of Department IV/5 of the Federal Ministry of Science, Research and Economy (BMFWF)

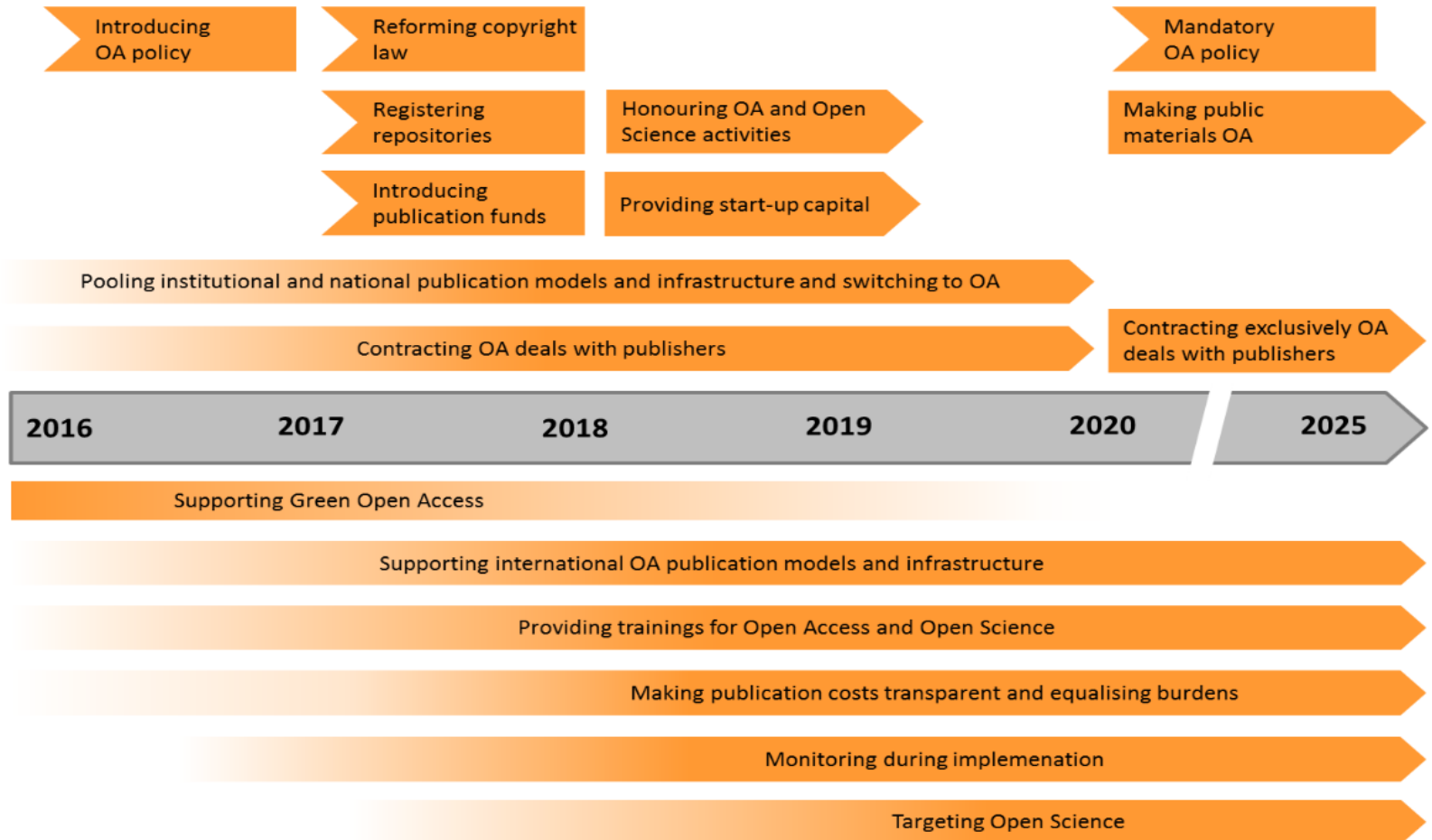
[Herwig Stöger](#)

Publishing house of the Austrian Academy of Sciences (ÖAW)

[Elvira Welzig](#)

Strategic HR and Science Networks at the Austrian Institute of Technology (AIT)

# Timeline to 100% Open Access in Austria



Source: [Bauer et al \(2015\)](#)



## E-Infrastructures Austria

- partner project sponsored by BMFWF (Federal Ministry of Science, Research and Economy) for three years
- initiated in January 2014
- In addition to all public universities, its partners include the Austrian Academy of Science (ÖAW), the Austrian Institute of Technology (AIT), the Institute of Technology Austria (IST), the Austrian National Library, the Chamber of Labour and the Austrian Library Network (OBVSG)

## Terminology

- **Offsetting Deals** - combine subscriptions and Open Access Fees
- **Hybrid OA** - Articles are made Open Access in closed access journals by paying APCs
- **Double Dipping** - publishers receive payments twi
- **APCs** - Article Processing Charges for publishing Open Access

### Institution

- Spending for reading
- Spending for publishing on top
- No integrated transaction



Subscriptions

+

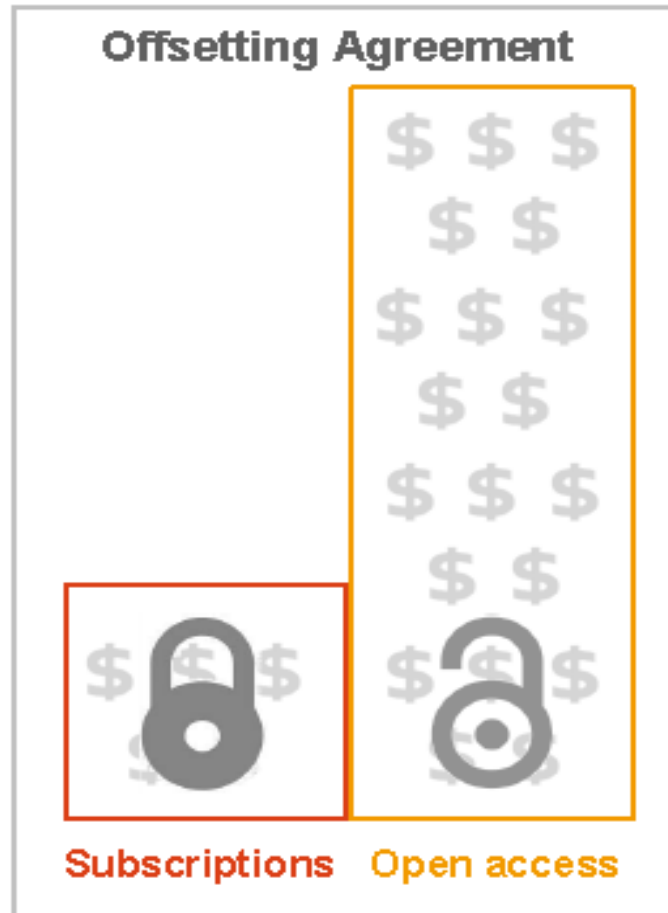


Open access

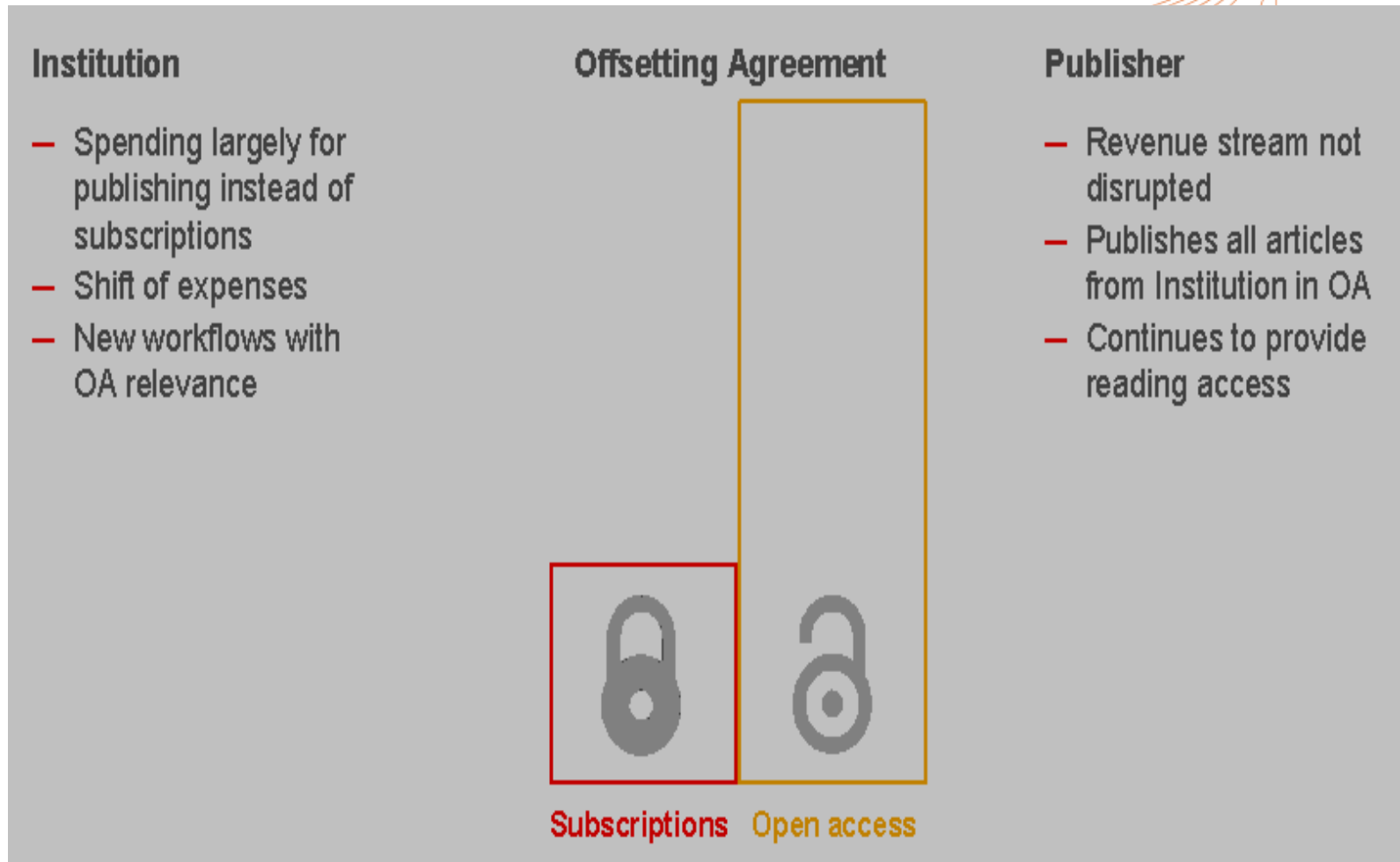
### Publisher

- Provides reading access
- Publishes individual papers open access

## Shifting costs



Source: Schimmer, R. (2016)



Source: Schimmer, R. (2016)

## Double Dipping

- **PARC Europe** defines double-dipping as following:
- “The term “Double Dipping” is used because publishers receive money twice: the subscriptions are still paid by the universities and authors pay for Open Access”.



*“Wait a minute...did you just double-dip?”*

Source: [Stieg, K. \(2015\)](#)

## Open Access Deals in Austria

- **Royal Society of Chemistry:** Gold for Gold (since 2013)
- **Institute of Physics:** Offsetting Deal (2014-2016)
- **Taylor & Francis:** Offsetting Deal (2014-2016)
- **SCOAP3** (2014-2016)
- **Springer Compact:** Read and Publish (2016-2018)
- **Sage:** Reduction of APC (2016-2018)
- **Wiley, Elsevier:** in negotiations (2017-2019)



## „Gold for Gold“ Model RSC

- Start Austria: Fall 2012
- OA Vouchers for „Gold for Gold“ customers
- Value proportionale to subscription costs
- 1 voucher per GBP 1.600
- RSC Vouchers Issued in 2013 and 2014
  - Worldwide: 11.455 (1.972 used = 17,2 %)
  - Austria: 100 (48 used = 48 %)
- Complex workflow

## Open Access Pilot Project IOP



- Pilot Project 2014-2016
- IOP, Austrian Science Fund (FWF) & Austrian Academic Library Consortium (KEMÖ)
- Consortium members pay subscription
- Austrian Science Fund pays APCs
- Once a year payed APCs are deducted to 100% from subscription fees of member institutions
- **Savings IOP:**
  - ✓ **6,9 % of total value (2015)**
  - ✓ **20,86 % of total value (2016)**
- Double dipping avoided on a large scale

## OA-Agreement Taylor & Francis



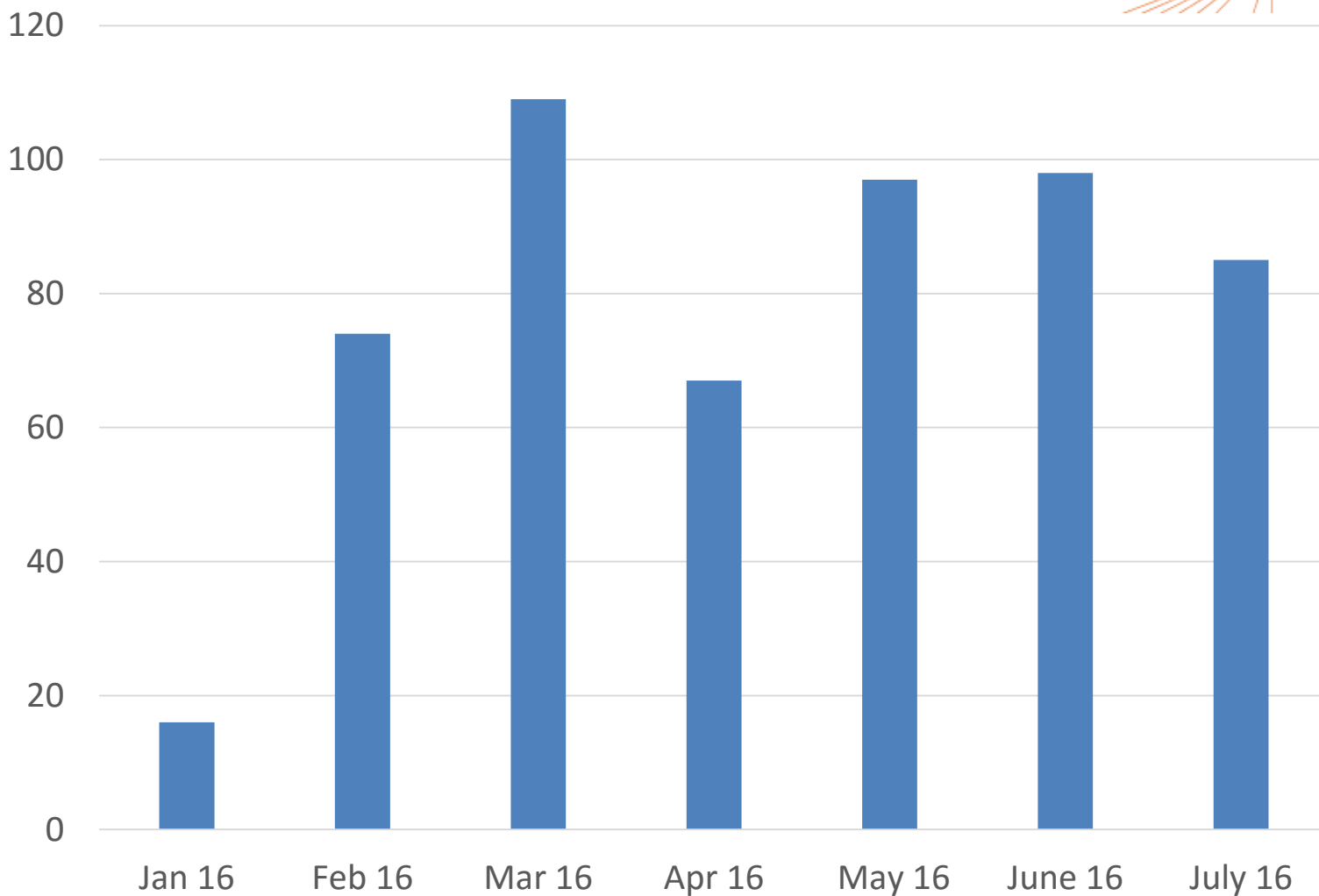
- Pilot Project 2014-2016
- T&F, Austrian Science Fund & Austrian Academic Library Consortium
- Consortium pays Subscriptions
- Austrian Science Fund pays APCs
- 80 % of APC offset against existing subscriptions
- 96 % of APC offset against new orders
- **Savings T&F:**
  - ✓ **5,1 % of total value (2015)**
  - ✓ **10,9 % of total value (2016)**

## Pilot Project Springer Compact



- Pilot Project 2016-2018
- Springer and 34 institutions in Austria
- Read and Publish
- Access to > 2.000 Springer journals
- > 3.000 Hybrid Open Access articles
- First come, first serve principle for article allocation

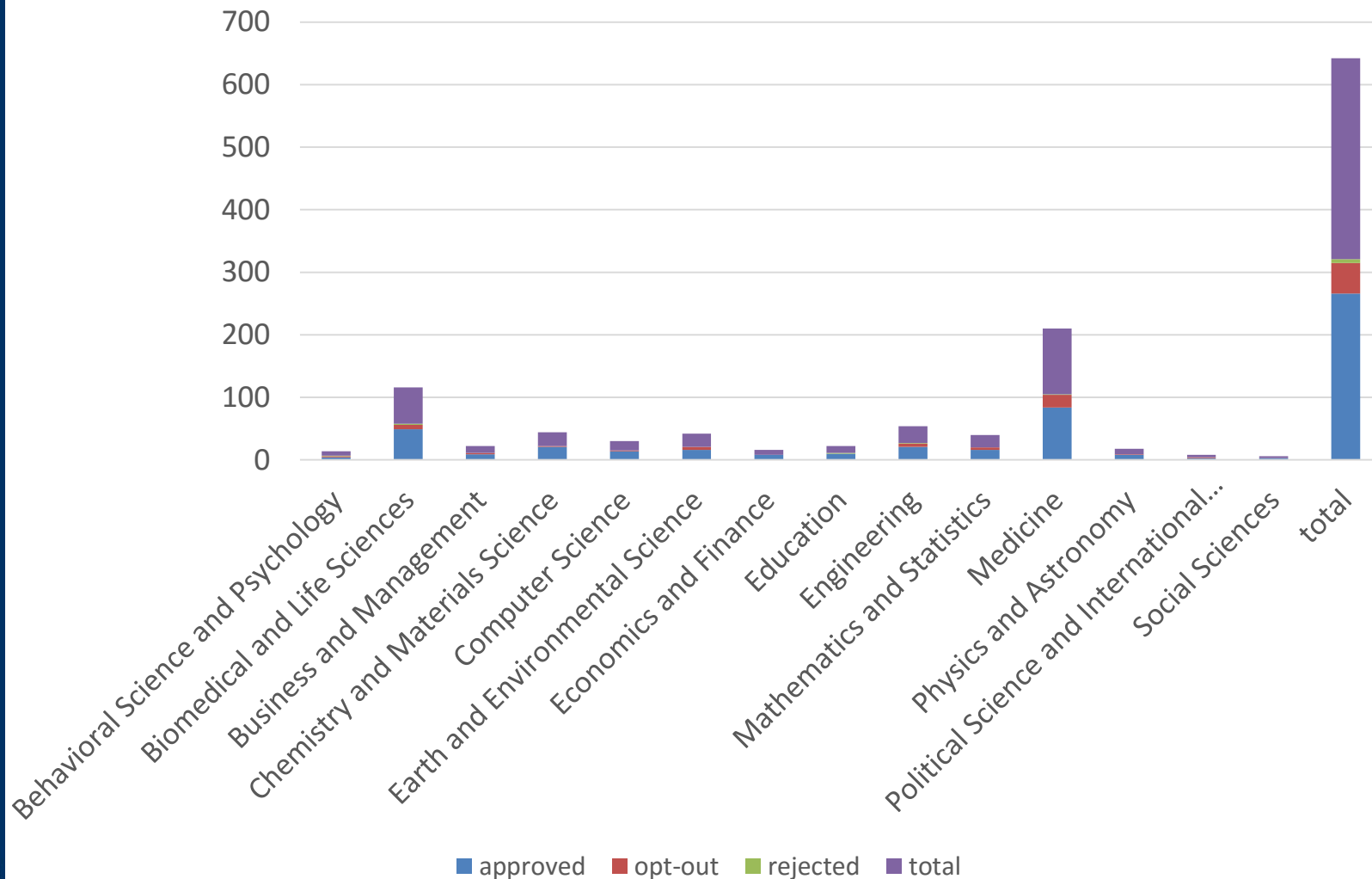
## Springer Compact – Monthly Report (January – July 2016)



## Springer Compact – Report by disciplines

Discipline	verification state			
	approved	opt-out	rejected	total
Behavioral Science and Psychology	4	2	1	7
Biomedical and Life Sciences	49	7	2	58
Business and Management	9	2		11
Chemistry and Materials Science	21	1		22
Computer Science	14	1		15
Earth and Environmental Science	16	5		21
Economics and Finance	8			8
Education	10		1	11
Engineering	21	5	1	27
Mathematics and Statistics	16	4		20
Medicine	84	20	1	105
Physics and Astronomy	8	1		9
Political Science and International Studies	3	1		4
Social Sciences	3			3
<b>total</b>	<b>266</b>	<b>49</b>	<b>6</b>	<b>321</b>

## Springer Compact - Report by disciplines January – July 2016



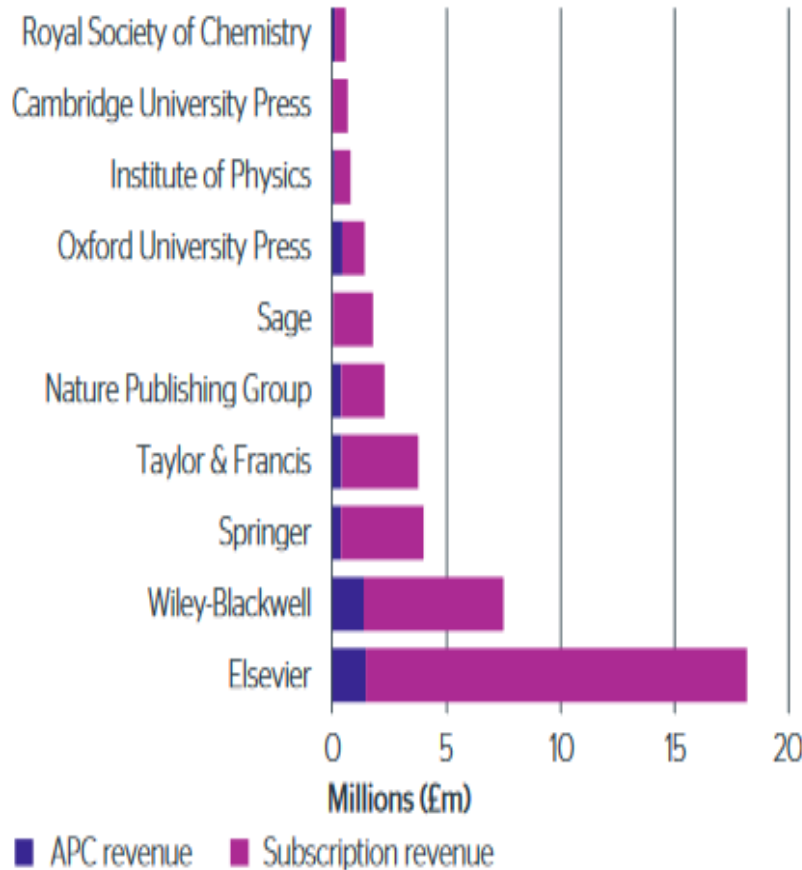
## Springer Compact savings:

- From January till June 2016 - **461** published articles;
- Aprox. APC for Austrian Authors in Springer Compact 2200,00 EUR; Total savings: **1.014.200,00 EUR**



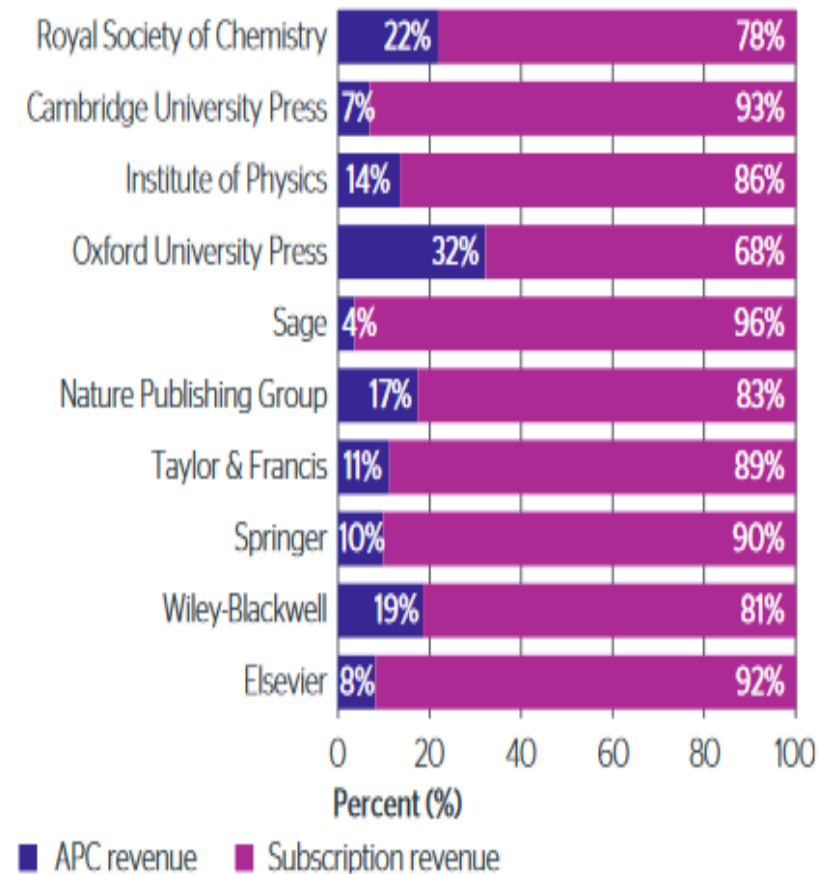
**Figure 22: APC and subscription revenue by publisher, 2014**

Elsevier generates more revenue from both subscriptions and APCs than any other publisher.



**Figure 23: APC and subscription revenue by publisher, 2014**

However, Elsevier makes proportionally less revenue from APCs than most of its competitors.



## Offsetting Deals Conclusions

### ■ Advantages

- ✓ Double Dipping avoided / mitigated
- ✓ Transparency
- ✓ Competition
- ✓ Visibility
- ✓ Pioneer effect & experience gain

### ■ Disadvantages

- ✓ Complexity
- ✓ Sustainability
- ✓ Complex APC administration
- ✓ Hybrid Open Access critical

## OPEN ACCESS TRAIN

Austria

Netherlands

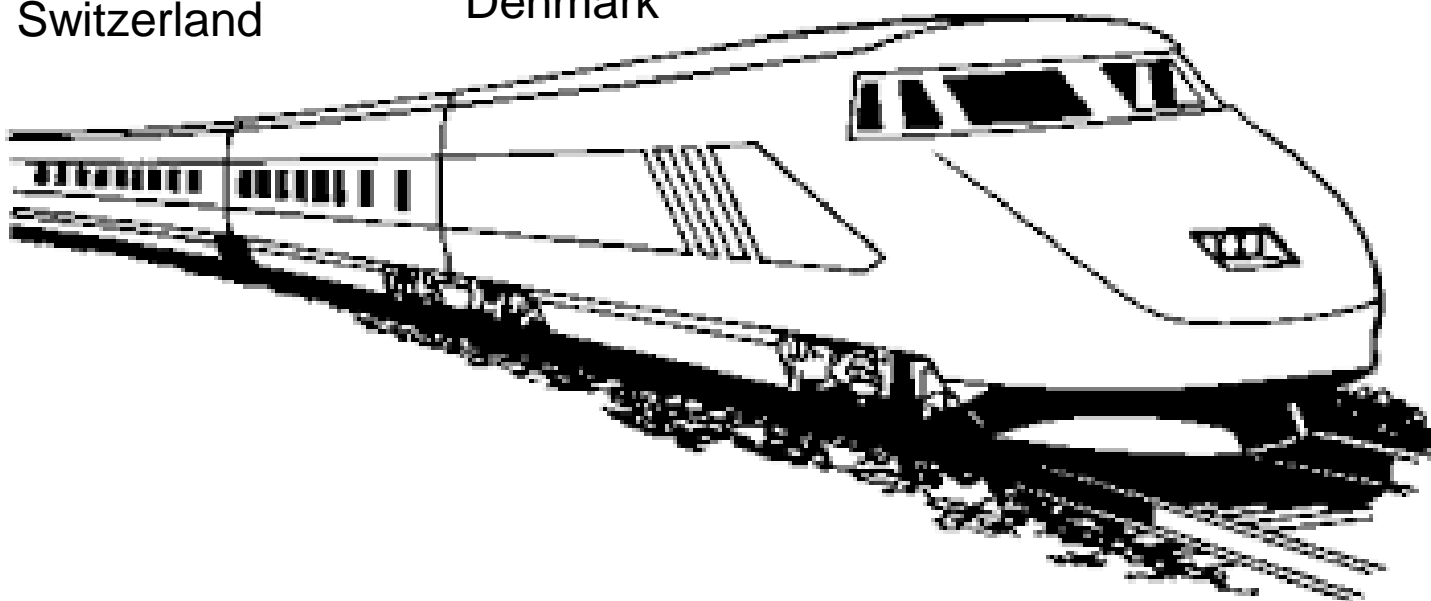
Germany

Norway

United Kingdom

Switzerland

Denmark



## End of Transition Phase, getting into Open Acces World



Source: [Google images](#)

## References:



- Bauer, B. ... [et al] (2015): *Recommendations for the Transition to Open Access in Austria*, Expert Group "National Strategy" of the Open Access Network Austria (OANA · Universities Austria (uniko);
- Kromp, B.; Rieck, K. (2016): *Open Access Deals in Austria (PowerPoint slides)*, ESAC offsetting workshop, Munich;
- Schimmer, R. (2016): *Initiatives for the Large Scale Transition to Open Access* (PowerPoint slides, pdf), retrieved from: [http://liber2016.org/wp-content/uploads/2015/10/1400-1420\\_Schimmer\\_Open\\_Access\\_2020.pdf](http://liber2016.org/wp-content/uploads/2015/10/1400-1420_Schimmer_Open_Access_2020.pdf)
- Shamash, K. (2016): *Article processing charges (APCs) and subscription: Monitoring open access costs*, retrieved from: <https://www.jisc.ac.uk/sites/default/files/apc-and-subscriptions-report.pdf>
- Stieg, K. (2015): *Offsetting-Deals in Austria* (PowerPoint slides), ICOLC, Oslo;

## Useful links:

- <https://www.konsortien.at/>
- <http://openaccess.univie.ac.at/>
- [FWF Open Access News](#)
- [FWF Open Access on Twitter](#)
- [www.oana.at](http://www.oana.at)