Working towards an Open Access strategy for Switzerland

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CSAL annual symposium
«Academic licensing and publishing today»
Bern, August 30, 2016
Topics

1. Strategy: objectives and set-up
2. Strategy: document structure and content
3. Strategy: draft schedule for stakeholder dialogue & strategy adoption
4. Implementation: OA in «Scientific Information» (P-2)
5. Implementation: known initiatives

Need for action:
1. Promotion of OA as an overriding goal
2. Cost transparency for public funds in the scholarly publication system
3. Coordination among stakeholders, especially HEI and their libraries

→ Objectives for OA to research publications
Strategy: draft document structure (overview)

0  Preamble
1  Why Open Access to publications?
2  Current situation
3  Principles guiding OA strategy
4  Objectives
5  Action items

→ to be followed by an action plan after strategy adoption

→ Swissuniversities webpage:
  https://www.swissuniversities.ch/de/themen/hochschulpolitik/open-access/
# Chapter Content

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<td>0</td>
<td>Preamble</td>
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<tr>
<td>1</td>
<td>Why OA to publications?</td>
<td>• Exploit technology in the context of Open Science (‘time to market‘ → enhance collaboration, visibility, attention, ...)</td>
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<td>• Maintain (defend) diversity of publication market</td>
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<td>• Point out flaws and consequences of research evaluation and reputation mechanisms in place</td>
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<td>→ For a nation whose prosperity is based on knowledge, OA is an important economic driver</td>
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<td>2</td>
<td>Current situation</td>
<td>• OA is emerging as a standard for high-level research and high on research policy agendas (examples)</td>
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<td>• Varieties of OA publishing (definitions)</td>
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# Chapter Content

## 3 Principles guiding OA strategy
- Unified approach: common objectives and political support
- Awareness and commitment of research communities
- Cost transparency and cost neutrality
- Ascertain control and diversity of scholarly publication process
- Revise quality assessment system

## 4 Objectives
Take European objectives as a benchmark:
- 80% of scholarly publications OA by 2020
- 100% of scholarly publications OA by 2024

## 5 Action items
- Adopt and align OA policies
- Negotiate with publishers (back-up Consortium)
- Coordinate and pool resources / infrastructures
- Promote / support new publication models
- Communicate and raise awareness
Strategy: adoption procedure (DRAFT!)

31.08. Strategy document draft adopted by working group

07.09. swissuniversities Board

08.09. → 1st opinions: SNSF, KUB-CBU, SSIC, … (main stakeholders)
13.09. SNSF Research Council
16.09. swissuniversities Research Delegation
21.10. swissuniversities Strategic Planning Delegation

November → Review by swissuniversities members (rectors)

14.12. swissuniversities Board
31.01. swissuniversities Plenary Assembly

Swiss Conference of Higher Education Institutions (SCHEI)
Implementation:
OA in „Scientific Information“ - key areas of focus

<table>
<thead>
<tr>
<th>Services</th>
<th>Transfer from “local” to “national”: eLearning / eAssessment solutions, open access publishing platforms, repositories, cloud computing pilots</th>
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<tr>
<td><strong>Publications</strong></td>
<td>eScience</td>
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<td>Metadata hub, access</td>
<td>Data life-cycle management (DLCM), concepts and tools</td>
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<td>DLCM training</td>
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<td>Digitization</td>
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September 7, 2016
SUC P-2 "Scientific information: Access, processing and safeguarding"

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<th>EP-2</th>
<th>Setting up a study to monitor the publication behavior of researchers in Switzerland</th>
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<td>EP-4</td>
<td>Cooperation projects to improve communication about publishing terms and conditions and authors‘ rights</td>
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<td>EP-6</td>
<td>Projects to improve the interoperability of repositories and digitization platforms</td>
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<td>EP-8</td>
<td>Participation in the SNSF‘s or the universities‘ gold OA applications: Contributions to publishing costs, memberships of OA publishing houses, participation in disciplinary OA consortia</td>
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<td>EP-9</td>
<td>Converting publications owned by universities, scientific societies etc. to an OA model</td>
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<td>EP-3</td>
<td>The establishment of competence centers for copyright and authors‘ rights, as well as rights to data and OA</td>
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<td>EP-5</td>
<td>Providing access to existing high-quality repository services for interested researchers in Switzerland as a national service</td>
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<tr>
<td>EP-7</td>
<td>Opening up OA publishing platforms (e.g. on the basis of OJS) as a national service</td>
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Copyright: Right is granted to the university to upload the publisher’s PDF to the institutional repository.

Coverage: All articles by affiliated authors in the journal archives (several thousand articles for the whole of Switzerland)

- Will publishers provide full texts and metadata for mass imports?
- If yes: How can a university easily import “its” publications in the university’s repository?

Offsetting (only De Gruyter): 90% of the APCs paid will be deducted from the total amount of the Consortium’s subscription (avoidance of double dipping was a SUC P-2 requirement)

- Use the discount to fund future publications?

→ Website: http://www.consortium.ch/national-lizenzen/?lang=en
Implementation: known initiatives

- KUB-CBU statement on Open Access (see: http://www.kub-cbu.ch/home/)
- 20 January, 2017: SAGW / ASSH event on OA journals in the humanities and social sciences

Probable program applications / mandates:

- Build up OA expertise at the Consortium headquarter (KUB-CBU)
- RERO Swiss Open Repository: shared institutional repository for interested HEI, metadata aggregation, added services (RERO)
- Support Swiss OA strategy action plan (swissuniversities)
- (…)

September 7, 2016

SUC P-2 "Scientific information: Access, processing and safeguarding"
“Scientific information: Access, processing and safeguarding“

(SUC 2013-2016 P-2)

Web:  
[www.swissuniversities.ch/isci](http://www.swissuniversities.ch/isci)

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